	ESA Climate Change Initiative (CCI)	Page 1
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

ESA Climate Change Initiative (CCI)


# Product Specification Document (PSD)

for the Essential Climate Variable (ECV)

**Greenhouse Gases (GHG)**


Written by: GHG-CCI project team

Lead author: Dr Robert Parker, University of Leicester

	<b>ESA Climate Change Initiative (CCI)</b> <b>Project Specification Document</b> <b>Version 1 (PSDv1)</b> for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	Page 2
		Version1 - Draft 3
		14 Feb 2010


### Change log:

Version Nr.	Date	Status	Reason for change
Version 1 – Draft 1	14 Dec 2010	Initial Draft for GHG-CCI project team	New document. Main purpose: For distribution to project team for initial input on format of current data products
Version 1 – Draft 2	1 <sup>st</sup> February 2011	Initial Draft for GHG-CCI project team	Updates include common/algorithm-specific sections for ECAs plus input from ULE and SRON on data products.
Version 1 – Draft 3	14 <sup>th</sup> February	Proposed final draft of Version 1	Includes inputs received from project team and feedback from Draft 2


	ESA Climate Change Initiative (CCI)	Page 3
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## Table of Contents

1	Overview .....	5
2	Instruments .....	6
2.1	SCIAMACHY/ENVISAT .....	6
2.2	TANSO/GOSAT .....	6
3	File format and file naming convention .....	7
4	ECV Core Algorithms (ECAs) .....	8
4.1	Common Parameters .....	10
4.2	Algorithm-Specific Parameters .....	11
5	Additional Constraints Algorithms (ACAs) .....	11
6	References .....	12
7	Terms and acronyms .....	14
8	Appendix A - CO2_SCI_BESD .....	19
8.1	Data organization .....	19
8.1.1	File naming convention .....	19
8.1.2	Common data fields .....	19
8.1.3	Algorithm specific data fields .....	19
9	Appendix B - CO2_SCI_WFMD .....	21
9.1	Data organization .....	21
9.1.1	File naming convention .....	21
9.1.2	Common data fields .....	21
9.1.3	Algorithm specific data fields .....	21
10	Appendix C - CH4_SCI_WFMD .....	22
10.1	Data organization .....	22

	ESA Climate Change Initiative (CCI)	Page 4
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

10.1.1	File naming convention .....	22
10.1.2	Common data fields .....	22
10.1.3	Algorithm specific data fields .....	23
11	Appendix D - CO2_GOS_OCFP and CH4_GOS_OCFP .....	24
11.1	Data organisation .....	24
11.2	File naming convention.....	25
11.3	Data Contents.....	25
12	Appendix E - CH4_SCI_IMAP .....	28
12.1	Data organisation .....	28
12.2	File naming convention.....	28
12.3	Data Contents.....	29
13	Appendix F - CO2_GOS_SRFP, CH4_GOS_SRFP and CH4_GOS_SRPR .....	31
14	Appendix G - Additional Constraints Algorithms (ACAs).....	34
14.1	CO2_AIR_NLIS .....	34
14.2	CO2_IAS_NLIS .....	34
14.3	CH4_IAS_NLIS.....	35
14.4	CO2_ACE_CLSR .....	36
14.5	CH4_MIP_IMK.....	36
14.6	CH4_SCI_ONPD .....	38

	ESA Climate Change Initiative (CCI)	Page 5
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## 1 Overview

This document is Product Specification Document Version 1 (PSDv1), which is a deliverable of the ESA project GHG-CCI.

The Product Specification Document (PSD) incorporates the user requirements as described in the GHG-CCI User Requirements Document (URD):

### URDv1:

Buchwitz, M., F. Chevallier, P. Bergamaschi, I. Aben, H. Bösch, O. Hasekamp, J. Notholt, M. Reuter, et al., User Requirements Document for the GHG-CCI project of ESA's Climate Change Initiative, pp. 45, version 1, 3. February 2011, 2011. Available from <http://www.esa-ghg-cci.org/>


The GHG-CCI project will deliver the Essential Climate Variable (ECV) Greenhouse Gases (GHG). Two kinds of algorithms (and their corresponding data products) are used within GHG-CCI:

- ECV Core Algorithms (ECAs): Algorithms to retrieve column-averaged dry air mole fractions of CO<sub>2</sub> and CH<sub>4</sub> from SCIAMACHY/ENVISAT and TANSO/GOSAT, i.e., XCO<sub>2</sub> (in ppm) and XCH<sub>4</sub> (in ppb). These instruments are used as “core instruments” because their measurements are sensitive to the lowest atmospheric layer and therefore provide information on the regional sources and sinks of CO<sub>2</sub> and CH<sub>4</sub>.
- Additional Constraints Algorithms (ACAs): Algorithms to retrieve CO<sub>2</sub> and/or CH<sub>4</sub> information on layers above the Planetary Boundary Layer (PBL) such as IASI or MIPAS. They provide additional constraints for inverse modelling of CO<sub>2</sub> and/or CH<sub>4</sub> fluxes by constraining concentrations in upper layers.

For each ECV product the PSD contains the most detailed and specific definition of the:

- geophysical parameters to be provided
- structure and format of the product
- annotation data sets
- quality flags / indicators
- product grid and projection
- ancillary data used

It is recognised that the GHG-CCI project involves a substantial amount of algorithm development and therefore mature data products do not necessarily exist yet. The purpose of this document is to outline the current product specification for each core product with the understanding that this specification is expected to change in the future. These future changes will be reflected in yearly updates to this document.

	ESA Climate Change Initiative (CCI)	Page 6
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## 2 Instruments

This section provides a brief description of the two instruments, SCIAMACHY and GOSAT's TANSO-FTS.

### 2.1 SCIAMACHY/ENVISAT


The SCanning Imaging Absorption spectroMeter for Atmospheric Cartography (SCIAMACHY) is a passive remote sensing spectrometer observing backscattered, reflected, transmitted or emitted radiation from the atmosphere and Earth's surface, in the wavelength range between 240 and 2380 nm. The instrument flies on board ENVISAT which was launched on 1 March 2002. A detailed instrument description is given in **/Bovensmann et al., 1999/**. Until 2009 when GOSAT was launched, SCIAMACHY was the only satellite instrument allowing for the measurement of the atmospheric carbon dioxide and methane concentration with significant sensitivity down to the surface where the sources and sinks are located.

### 2.2 TANSO/GOSAT

The Japanese Greenhouse gases Observing SATellite (GOSAT) was launched on 23 January 2009 **/Yokota2009/** by JAXA, the Japanese Space Agency. GOSAT provides the first dedicated global measurements of total column CO<sub>2</sub> and CH<sub>4</sub> from its SWIR bands **/Yoshida2010/**. It is equipped with two instruments, the Thermal And Near Infrared Sensor for carbon Observations - Fourier Transform Spectrometer (TANSO-FTS) as well as a dedicated Cloud and Aerosol Imager (TANSO-CAI) .

The TANSO-FTS instrument has four spectral bands with a high spectral resolution  $0.3\text{cm}^{-1}$ , three of which operate in the SWIR at around 0.76, 1.6 and  $2.0\mu\text{m}$  providing sensitivity to the near-surface absorbers with the fourth channel operating in the thermal infrared between 5.5 and  $14.3\mu\text{m}$  providing mid-tropospheric sensitivity **/Saitoh2009/**.

The measurement strategy of TANSO-FTS is optimised for the characterisation of continental-scale sources and sinks with the aim of achieving a 0.3%-1% relative accuracy for 3-month averages of CO<sub>2</sub> at a 100-1000~km spatial resolution **/Kuze2009/**. The aim for CH<sub>4</sub> is to achieve an accuracy of better than 2% on the same spatial and temporal scales. In order to achieve this, TANSO-FTS utilises a pointing mirror to perform off-nadir measurements at the same location on each 3-day repeat cycle. The pointing mirror allows TANSO-FTS to observe up to  $\pm 35^\circ$  across track and  $\pm 20^\circ$  along-track. These measurements nominally consist of 5 across track points spaced  $\sim 100\text{km}$  apart (although measurements are possible with 1, 3, 5, 7 or 9 across track points) with a ground footprint diameter of approximately 10.5 km and a 4 second exposure duration. Whilst the majority of data is limited to measurements over land where the surface reflectance is high, TANSO-FTS also observes in sunglint mode over the ocean within  $\pm 20^\circ$  of the subsolar latitude.

	<b>ESA Climate Change Initiative (CCI)</b>	Page 7
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	<b>for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)</b>	14 Feb 2010

### 3 File format and file naming convention

Data format requirements for GHG-CCI are given in URDv1:

<b>REQ-GHGCCI-DFO-1</b>	The GHG ECV data products shall be in NetCDF format (preferred option) but other data formats are also useful/possible.
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It is planned to use the NetCDF format. Details on the file content are given in the following sections.

Unless otherwise noted, the following common L2 file name convention is foreseen:

The directory structure consists of year (YYYY), month (MM), and day (DD):


/YYYY/MM/DD/

example: /2010/06/01/

The file names consist of (an abbreviation of) the retrieved gas (GGG), the instrument (III), the algorithm (AAAAAAA), and the orbit's start time (YYYYMMDDHHMMSS):

GGG\_III\_AAAAAAA\_YYYYMMDDHHMMSS.nc

example: CO2\_SCI\_WFMD20b\_YYYYMMDDHHMMSS.nc

	ESA Climate Change Initiative (CCI)	Page 8
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010


## 4 ECV Core Algorithms (ECAs)

ECV Core Algorithms (ECAs) are algorithms to retrieve XCO<sub>2</sub> and/or XCH<sub>4</sub> from SCIAMACHY and/or TANSO. Several ECAs are further developed and assessed within GHG-CCI. At the end of the two year Round Robin time period, the best algorithms to generate one of the four GHG-CCI main data products (XCO<sub>2</sub> and XCH<sub>4</sub> from SCIAMACHY and TANSO) will be selected. At present at least two different ECAs are used for each of the four main (core) data products.

**Table 1** gives a summary of each ECA, including the algorithm identifier and full name, the processing institute, the retrieval technique, relevant references for the retrieval algorithm and the corresponding Appendix, where a detailed product description is given (if available).

ECV Core Algorithm Identifier	Full Name	Institute	Technique	Reference	Appendix For Full Product Description
CO2_SCI_BESD	IUP, Univ. Bremen, Bremen optimal ESimation DOAS (BESD) SCIAMACHY XCO <sub>2</sub> algorithm	IUP	Full Physics, Optimal Estimation	Reuter et al., 2010, 2011	Appendix A
CO2_SCI_WFMD	IUP, Univ. Bremen, Weighting Function Modified DOAS (WFMD) SCIAMACHY XCO <sub>2</sub> algorithm	IUP	Least-squares DOAS (proxy method)	Schneising et al., 2010	Appendix B
CH4_SCI_WFMD	IUP, Univ. Bremen, Weighting Function Modified DOAS (WFMD) SCIAMACHY XCH <sub>4</sub> algorithm	IUP	Least-squares DOAS (proxy method)	Schneising et al., 2010	Appendix C
CO2_GOS_OCFP	University of Leicester OCO Full Physics	ULE	Full Physics, Optimal Estimation	Boesch et al., 2006, Connor et al., 2008	Appendix D




	<b>ESA Climate Change Initiative (CCI)</b>	Page 9
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	<b>for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)</b>	14 Feb 2010

	GOSAT CO2				
CH4_GOS_OCFP	University of Leicester OCO Full Physics GOSAT CH4	ULE	Full Physics, Optimal Estimation	Boesch et al., 2006, Connor et al., 2008	Appendix D
CH4_SCI_IMAP	SRON Proxy SCIAMACHY CH4	SRON	Proxy method, Iterative maximum a posteriori solution	Frankenberg et al., 2005	Appendix E
CH4_GOS_SRPR	SRON Proxy GOSAT CH4	SRON	Proxy Method, Truncated SVD	Frankenberg et al., 2005	Appendix F
CO2_GOS_SRFP	SRON Full Physics GOSAT CO2	SRON	Full Physics, Phillips-Tikhonov regularization	Butz et al., 2009, 2010	Appendix F
CH4_GOS_SRFP	SRON Full Physics GOSAT CH4	SRON	Full Physics, Phillips-Tikhonov regularization	Butz et al., 2009, 2010	Appendix F

**Table 1:** Overview ECV Core Algorithms (ECAs).

The data products generated with ECAs contain a common set of parameters (described in the following section) and specific parameters (described in the corresponding Appendix).

	ESA Climate Change Initiative (CCI)	Page 10
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010


## 4.1 Common Parameters

The users of the GHG ECV data products, as represented by the GHG-CCI CRG, need data products which contain all the information required for surface flux inverse modelling such as retrieved XCO<sub>2</sub> and XCH<sub>4</sub> values for individual ground pixels, their errors, corresponding averaging kernels, used a-priori profiles, etc.

In order to provide this and allow comparison during the Round-Robin phase, all ECAs are expected to contain the parameters found in the table below.

Dimensions are defined as **number of pixels per orbit (n)** and **number of pressure levels (m)**.

Name	Type	Dimensions	Units	Description
instrument	String	1		<SCIAMACHY   GOSAT>
l2_processing_institute	String	1		<IUP   ULE   SRON>
l2_processor_version	String	1		Processor Version Number
l1b_input_filename	String	1		L1B Filename
solar_zenith_angle	Float	n	Degrees	Solar Zenith Angle (0°=zenith)
viewing_zenith_angle	Float	n	Degrees	Viewing Zenith Angle(0°=nadir)
azimuth_difference	Float	n	Degrees	Azimuth Difference
time	Double	n	Seconds	Seconds since 01.01.1970 00:00 UTC
longitude_centre	Float	n	Degrees	Longitude of pixel centre
latitude_centre	Float	n	Degrees	Latitude of pixel centre
longitude_corners	Float	n x 4	Degrees	Longitude of pixel corners (SCIA) or extremes (GOSAT)
latitude_corners	Float	n x 4	Degrees	Latitude of pixel corners (SCIA) or extremes (GOSAT)
surface_elevation	Float	n	km	Mean surface elevation of pixel
pressure_levels	Float	n x m	hPa	Retrieval pressure levels
column_averaging_kernel	Float	n x m		Normalised Column Averaging Kernel Profile
Chi2	Float	n		Chi-Square of fit residual
rms	Float	n		RMS of fit residual
xtarget	Float	n	ppm (CO <sub>2</sub> ) or ppb (CH <sub>4</sub> )	Retrieved XCO <sub>2</sub> (ppm) or XCH <sub>4</sub> (ppb)
xtarget_uncertainty	Float	n	ppm (CO <sub>2</sub> ) or ppb (CH <sub>4</sub> )	Uncertainty in retrieved XCO <sub>2</sub> (ppm) or XCH <sub>4</sub> (ppb)
xtarget_apriori	Float	n	ppm (CO <sub>2</sub> ) or ppb (CH <sub>4</sub> )	A priori XCO <sub>2</sub> (ppm) or XCH <sub>4</sub> (ppb)
vmr_profile_target	Float	n x m	ppm (CO <sub>2</sub> ) or ppb (CH <sub>4</sub> )	Retrieved XCO <sub>2</sub> (ppm) or XCH <sub>4</sub> (ppb) profile
vmr_profile_target_uncertainty	Float	n x m	ppm (CO <sub>2</sub> ) or ppb (CH <sub>4</sub> )	Uncertainty in retrieved XCO <sub>2</sub> (ppm) or XCH <sub>4</sub> (ppb) profile
vmr_profile_target_apriori	Float	n x m	ppm (CO <sub>2</sub> ) or	A priori XCO <sub>2</sub> (ppm) or

	<b>ESA Climate Change Initiative (CCI)</b> <b>Project Specification Document</b> <b>Version 1 (PSDv1)</b> <b>for the Essential Climate Variable (ECV)</b> <b>Greenhouse Gases (GHG)</b>	Page 11
		Version1 - Draft 3
		14 Feb 2010

			ppb (CH <sub>4</sub> )	XCH <sub>4</sub> (ppb) profile
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**Table 2:** Common parameters for the SCIAMACHY and TANSO XCO<sub>2</sub> and XCH<sub>4</sub> data products generated with ECAs.

## 4.2 Algorithm-Specific Parameters

In addition to the common parameters listed above, a full description of all algorithm-specific parameters is provided in the Appendices to this document. Please see **Table 2** for the corresponding Appendix.

## 5 Additional Constraints Algorithms (ACAs)

A short description of the additional constraints algorithms (ACAs) are provided in Appendix G.

The Additional Constraints Algorithms, listed by institute are:

### Laboratoire de Météorologie Dynamique (LMD)


- CO<sub>2</sub>\_AIR\_NLIS – AIRS CO<sub>2</sub>
- CO<sub>2</sub>\_IAS\_NLIS – IASI CO<sub>2</sub>
- CH<sub>4</sub>\_IAS\_NLIS – IASI CH<sub>4</sub>
- CO<sub>2</sub>\_ACE\_CLSR – ACE-FTS CO<sub>2</sub>

### Karlsruhe Institute of Technology (KIT)

- CH<sub>4</sub>\_MIP\_IMK – MIPAS CH<sub>4</sub>


### Institute of Environmental Physics (IUP)

- CH<sub>4</sub>\_SCI\_ONPD – SCIAMACHY Solar Occultation CH<sub>4</sub>

	ESA Climate Change Initiative (CCI)	Page 12
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

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	ESA Climate Change Initiative (CCI)	Page 13
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010


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	ESA Climate Change Initiative (CCI)	Page 14
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## 7 Terms and acronyms

### Terms

The terms listed below have been defined in */CMUG-RBD, 2010/* and are repeated here as this document shall be consistent with */CMUG-RBD, 2010/*. In case a different meaning is used in this document this is mentioned in this document.

Term	Meaning
Data assimilation	Observations directly influence the model initial state taking into account their error characteristics during every cycle of a model. This is used for reanalysis and NWP.
Model validation	Observations are compared with equivalent model fields to assess the accuracy of the model. This can be on short time scales for process studies or long time scales for climate trends.
Climate monitoring	This describes the use of a satellite only dataset to monitor a particular atmospheric or surface variable over a period > 15yrs to investigate whether there is a trend due to climate change.
Initialisation	To initialise prognostic quantities of the model with reasonable values at the beginning of the simulation but do not continuously update.
Prescribe boundary conditions	Prescribe boundary conditions for a model run for variable that are not prognostic (e.g. land cover, ice caps etc).

	ESA Climate Change Initiative (CCI)	Page 15
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## Acronyms

Abbreviation	Meaning
<b>ACE-FTS</b>	Atmospheric Chemistry Experiment-Fourier Transform Spectrometer (ASC/SCISAT-1)
<b>AAI</b>	Absorbing Aerosol Index
<b>AATSR</b>	Advanced Along Track Scanning Radiometer
<b>AIRS</b>	Atmospheric Infrared Sounder
<b>AMSU</b>	Advanced Microwave Sounding Unit
<b>AOD</b>	Aerosol Optical Depth
<b>AOT</b>	Aerosol Optical Thickness
<b>ATBD</b>	Algorithm Theoretical Basis Document
<b>BESD</b>	Bremen optimal ESTimation DOAS
<b>CCI</b>	Climate Change Initiative
<b>COD</b>	Cloud Optical Depth
<b>COTS</b>	Commercial Off-The-Shelf software
<b>D/B</b>	Data base
<b>DOAS</b>	Differential Optical Absorption Spectroscopy
<b>DPM</b>	Detailed Processing Model
<b>EC</b>	European Commission
<b>ECMWF</b>	European Centre for Medium Range Weather Forecasting
<b>ECV</b>	Essential Climate Variable
<b>EO</b>	Earth Observation
<b>ESA</b>	European Space Agency
<b>ESM</b>	Earth System Model
<b>FCDR</b>	Fundamental Climate Data Record
<b>FP</b>	Full Physics



**Project Specification Document  
Version 1 (PSDv1)**

Version1 - Draft 3

14 Feb 2010

for the Essential Climate Variable (ECV)  
Greenhouse Gases (GHG)

<b>FSI-WFM-DOAS</b>	Full Spectral Initiasation WFM-DOAS
<b>FTIR</b>	Fourier Transform InfraRed
<b>FTS</b>	Fourier Transform Spectrometer
<b>GCOS</b>	Global Climate Observing System
<b>GEO</b>	Group on Earth Observation
<b>GEOSS</b>	Global Earth Observation System of Systems
<b>GHG</b>	GreenHouse Gas
<b>GOME</b>	Global Ozone Monitoring Experiment
<b>GMES</b>	Global Monitoring for Environment and Security
<b>GOSAT</b>	Greenhouse Gas Observing Satellite
<b>GTOS</b>	Global Terrestrial Observing System
<b>IASI</b>	Infrared Atmospheric Sounding Interferometer
<b>IDL</b>	Interactive Data Language
<b>ITT</b>	Invitation To Tender
<b>IMAP-DOAS</b>	Iterative Maximum A posteriori DOAS
<b>IMLM</b>	Iterative Maximum Likelihood Method
<b>IODD</b>	Input Output Data Definition
<b>IPCC</b>	International Panel in Climate Change
<b>IPR</b>	Intellectual Property Right
<b>IUP</b>	Institute of Environmental Physics (IUP) of the University of Bremen, Germany
<b>KO</b>	Kick-Off Meeting
<b>LMD</b>	Laboratoire de Météorologie Dynamique
<b>LUT</b>	Look-up table
<b>MACC</b>	Monitoring Atmospheric Composition and Climate, EU GMES project
<b>MERIS</b>	Medium Resolution Imaging Spectrometer
<b>MIPAS</b>	Michelson Interferometer for Passive Atmospheric Sounding
<b>MODIS</b>	Moderate Resolution Imaging Spectrometer
<b>MRT</b>	Mid-term Review





**Project Specification Document  
Version 1 (PSDv1)**

Version1 - Draft 3

14 Feb 2010

for the Essential Climate Variable (ECV)  
Greenhouse Gases (GHG)

<b>NA</b>	Not applicable
<b>NDACC</b>	Network for the Detection of Atmospheric Composition Change
<b>NASA</b>	National Aeronautics and Space Administration
<b>NIES</b>	National Institute for Environmental Studies
<b>NOAA</b>	National Oceanic and Atmospheric Administration
<b>OCO</b>	Orbiting Carbon Observatory
<b>OD</b>	Optical Depth
<b>OE</b>	Optimal Estimation
<b>PBL</b>	Planetary Boundary Layer
<b>PM</b>	Progress Meeting
<b>PMD</b>	Polarization Measurement Device
<b>PMP</b>	Project Management Plan
<b>PVP</b>	Product Validation Plan
<b>PVR</b>	Product Validation Report
<b>RD</b>	Reference Document
<b>RTM</b>	Radiative transfer model
<b>SCIATRAN</b>	Radiative transfer model for SCIAMACHY developed and continuously improved at IUP
<b>SCIAMACHY</b>	SCanning Imaging Absorption spectroMeter for Atmospheric ChartographY
<b>SoW</b>	Statement of work
<b>SQWG</b>	SCIAMACHY Quality Working Group
<b>SRD</b>	Software Requirements Document
<b>SUM</b>	Software User Manual
<b>SVR</b>	Software Verification Report
<b>TANSO</b>	Thermal And Near infrared Sensor for carbon Observation
<b>TBC</b>	To be confirmed
<b>TCCON</b>	Total Carbon Column Observing Network
<b>TBD</b>	To be defined / to be determined



ESA Climate Change Initiative (CCI)

**Project Specification Document  
Version 1 (PSDv1)**


**for the Essential Climate Variable (ECV)  
Greenhouse Gases (GHG)**

Page 18

Version1 - Draft 3

14 Feb 2010

<b>TES</b>	Tropospheric Emission Spectrometer
<b>TOVS</b>	TIROS Observational Vertical Sounding
<b>WFM-DOAS (or WFMD)</b>	Weighting Function Modified DOAS

	ESA Climate Change Initiative (CCI)	Page 19
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## 8 Appendix A - CO<sub>2</sub>\_SCI\_BESD

The Bremen Optimal Estimation DOAS (BESD) algorithm /Reuter et al., 2010, 2011/ is IUP's full physics XCO<sub>2</sub> retrieval. It aims to significantly reduce scattering related errors of SCIAMACHY retrieved XCO<sub>2</sub>. It uses SCIAMACHY nadir data at 0.76 and 1.6  $\mu\text{m}$  and explicitly considers scattering by an (optically thin) ice cloud layer and aerosols assuming a default profile. The scattering information is transferred from the O<sub>2</sub>-A band to the CO<sub>2</sub> band by using a merged fit window approach to simultaneously retrieve scattering related parameters and XCO<sub>2</sub>.

As BESD is still under development, its data product format is not yet finally defined. However, in the following, a description is given defining the planned data format.

### 8.1 Data organization

The data organization will follow the common definitions given in this document for all ECV core algorithms. All retrievals of a single orbit which pass initial quality checks will be packed into an individual NetCDF file.

#### 8.1.1 File naming convention

As commonly defined within this document (see Section 3).

#### 8.1.2 Common data fields

As commonly defined within this document (see Section 4.1).

#### 8.1.3 Algorithm specific data fields

The following table describes the (planned) additional contents of the data product (i.e., parameters which will be provided in addition to the common parameters). Type, dimension, units and description will be specified after implementation. Essentially, it describes the fields of the current, internal file format. Therefore, this list is preliminary and may change during the project if adaptations are needed.

Name	Type	Dimensions	Units	Description
ORBIT_NR	tbs	tbs	tbs	tbs
CALIBR_APPL	tbs	tbs	tbs	tbs
WAVELENGTH_NADIR	tbs	tbs	tbs	tbs
RADIANCE_NADIR	tbs	tbs	tbs	tbs
ERROR_NADIR	tbs	tbs	tbs	tbs
WAVELENGTH_SOLAR	tbs	tbs	tbs	tbs
RADIANCE_SOLAR	tbs	tbs	tbs	tbs
ERROR_SOLAR	tbs	tbs	tbs	tbs
ECMWF_HEIGHT	tbs	tbs	tbs	tbs
ECMWF_TEMPERATURE	tbs	tbs	tbs	tbs
ECMWF_PRESSURE	tbs	tbs	tbs	tbs



**Project Specification Document  
Version 1 (PSDv1)**


Version1 - Draft 3

14 Feb 2010

for the Essential Climate Variable (ECV)  
Greenhouse Gases (GHG)

ECMWF_H2O	tbs	tbs	tbs	tbs
GTOPO30_HEIGHT	tbs	tbs	tbs	tbs
GTOPO30_ROUGH	tbs	tbs	tbs	tbs
LAND_SEA_FRACTION	tbs	tbs	tbs	tbs
MERIS_CFC	tbs	tbs	tbs	tbs
SCIAMACHY_AA1	tbs	tbs	tbs	tbs
A_PRIORI_ERROR_COVARIANCE_MATRIX	tbs	tbs	tbs	tbs
STATE_VECTOR_NAMES	tbs	tbs	tbs	tbs
STATE_VECTOR_A_PRIORI	tbs	tbs	tbs	tbs
STATE_VECTOR_A_PRIORI_ERROR	tbs	tbs	tbs	tbs
STATE_VECTOR_RETRIEVED	tbs	tbs	tbs	tbs
STATE_VECTOR_RETRIEVED_ERROR	tbs	tbs	tbs	tbs
STATE_VECTOR_REFERENCE	tbs	tbs	tbs	tbs
STATE_VECTOR_FIT_WINDOW	tbs	tbs	tbs	tbs
INTENSITY_FIT	tbs	tbs	tbs	tbs
WAVELENGTH_FIT	tbs	tbs	tbs	tbs
INTENSITY_MEASUREMENT	tbs	tbs	tbs	tbs
INTENSITY_REFERENCE	tbs	tbs	tbs	tbs
WAVELENGTH_REFERENCE	tbs	tbs	tbs	tbs
INTENSITY_RMSE	tbs	tbs	tbs	tbs
INTENSITY_CHI2	tbs	tbs	tbs	tbs
NUMBER_OF_ITERATIONS	tbs	tbs	tbs	tbs
CONVERGENCE	tbs	tbs	tbs	tbs
JACOBIAN_FIT	tbs	tbs	tbs	tbs
NUMBER_OF_FIT_WINDOWS	tbs	tbs	tbs	tbs
SIZE_OF_FIT_WINDOWS	tbs	tbs	tbs	tbs
MEASUREMENT_ERROR_COVARIANCE_MATRIX	tbs	tbs	tbs	tbs
GAIN_MATRIX	tbs	tbs	tbs	tbs
AVERAGING_KERNEL_MATRIX	tbs	tbs	tbs	tbs
DEGREE_OF_FREEDOM	tbs	tbs	tbs	tbs
R_MATRIX	tbs	tbs	tbs	tbs
INFORMATION_CONTENT	tbs	tbs	tbs	tbs
ERROR_COVARIANCE_SMOOTHING	tbs	tbs	tbs	tbs
ERROR_COVARIANCE_NOISE	tbs	tbs	tbs	tbs
ERROR_COVARIANCE_STATISTICAL	tbs	tbs	tbs	tbs
STATE_VECTOR_ERROR_REDUCTION	tbs	tbs	tbs	tbs
STATE_VECTOR_INFORMATION_CONTENT	tbs	tbs	tbs	tbs
STATE_VECTOR_DEGREE_OF_FREEDOM	tbs	tbs	tbs	tbs
XCO2_ERROR_SMOOTHING	tbs	tbs	tbs	tbs
XCO2_ERROR_NOISE	tbs	tbs	tbs	tbs
XCO2_ERROR_REDUCTION	tbs	tbs	tbs	tbs
XCO2_DEGREE_OF_FREEDOM	tbs	tbs	tbs	tbs
XCO2_INFORMATION_CONTENT	tbs	tbs	tbs	tbs

**Table 3:** Additional parameters of the data product generated with the CO2\_SCI\_BESD algorithm.  
Tbs = to be specified.

	ESA Climate Change Initiative (CCI)	Page 21
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## 9 Appendix B - CO<sub>2</sub>\_SCI\_WFMD

The Weighting Function Modified Differential Optical Absorption Spectroscopy (WFM-DOAS) retrieval technique was developed for the retrieval of trace gases and optimised for CO<sub>2</sub>, CH<sub>4</sub>, and O<sub>2</sub> retrievals using a fast look-up table (LUT) scheme to avoid computationally expensive online radiative transfer calculations. The current version is WFMDv2.0 described in /Schneising et. al, 2010/.

In order to convert the retrieved CO<sub>2</sub> columns into column-averaged mole fractions the CO<sub>2</sub> columns are divided by the dry-air columns obtained from the simultaneously measured O<sub>2</sub> columns obtained from the O<sub>2</sub> A-band.

### 9.1 Data organization

The data organization will follow the common definitions given in this document for all ECV core algorithms.

#### 9.1.1 File naming convention


As commonly defined within this document (see Section 3).

#### 9.1.2 Common data fields

As commonly defined within this document (see Section 4.1).

#### 9.1.3 Algorithm specific data fields

There will be additional data fields based on the current version WFMDv2.0 as specified in Table 4. This list of data fields may be subject to changes during the project.

	ESA Climate Change Initiative (CCI)	Page 22
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## 10 Appendix C - CH<sub>4</sub>\_SCI\_WFMD

The current version is WFMDv2.0 described in /Schneising et. al, 2010/.

In order to convert the retrieved CH<sub>4</sub> columns into column-averaged mole fractions the CH<sub>4</sub> columns are divided by the dry-air columns obtained from the simultaneously measured CO<sub>2</sub> columns correcting for CO<sub>2</sub> variability using CarbonTracker.

### 10.1 Data organization

The data organization will follow the common definitions given in this document for all ECV core algorithms.

#### 10.1.1 File naming convention

As commonly defined within this document (see Section 3).

#### 10.1.2 Common data fields


As commonly defined within this document (see Section 4.1).

### 10.1.3 Algorithm specific data fields

There will be additional data fields based on the current version WFMDv2.0 as specified in table. This list of data fields may be subject to changes during the project.

Name	Type	Dimen sions	Units	Description
px#	tbs	tbs	tbs	Ground pixel number (per orbit)
st#	tbs	tbs	tbs	State number [-] (0,1,..)
read#	tbs	tbs	tbs	Ground pixel number (per state) [-] (0,1,...)
t	tbs	tbs	tbs	Pixel type [-] (1:forward 2:backscan)
t_int	tbs	tbs	tbs	Integration time [s]
cld	tbs	tbs	tbs	PMD cloud mask (1:probably cloud contaminated)
lnd	tbs	tbs	tbs	Land mask (1:completely land covered)
snrad	tbs	tbs	tbs	Sun-normalized radiance (R/I*PI) [-]
alt	tbs	tbs	tbs	Average ground altitude [km]
H2O(CH4 fit)	tbs	tbs	tbs	H2O column of CH4 fit [molec./cm2]
H2O_err(CH4)	tbs	tbs	tbs	H2O column error of CH4 fit [%]
H2O(CO2 fit)	tbs	tbs	tbs	H2O column of CO2 fit [molec./cm2]
H2O_err(CO2)	tbs	tbs	tbs	H2O column error of CO2 fit [%]
O2#	tbs	tbs	tbs	Number of O2 (sub-)pixel [-]
O2	tbs	tbs	tbs	O2 column [molec./cm2]
O2_err	tbs	tbs	tbs	O2 column error [%]
O2_rms	tbs	tbs	tbs	RMS of O2 fit residuum [-]
O2Q	tbs	tbs	tbs	O2 quality flag (0:good 1:bad)
CO2#	tbs	tbs	tbs	Number of CO2 (sub-)pixel [-]
CO2	tbs	tbs	tbs	CO2 column [molec./cm2]
CO2_err	tbs	tbs	tbs	CO2 column error [%]
CO2_rms	tbs	tbs	tbs	RMS of CO2 fit residuum [-]
CO2Q	tbs	tbs	tbs	CO2 quality flag (0:good 1:bad)
XCO2Q	tbs	tbs	tbs	XCO2 quality flag (0:good 1:bad)
CH4_rms	tbs	tbs	tbs	RMS of CH4 fit residuum [-]
CH4Q	tbs	tbs	tbs	CH4 quality flag (0:good 1:bad)
XCH4Q	tbs	tbs	tbs	XCH4 quality flag (0:good 1:bad)
XCO2FQ	tbs	tbs	tbs	XCO2 final quality flag (0:good 1:bad)
XCH4FQ	tbs	tbs	tbs	XCH4 final quality flag (0:good 1:bad)
XCH4_corr	tbs	tbs	tbs	XCH4 CarbonTracker-corrected [ppb]

**Table 4:** Additional parameters of the data product generated with the CO2\_SCI\_WFMD and CH4\_SCI\_WFMD algorithm. tbs = to be specified.

	ESA Climate Change Initiative (CCI)	Page 24
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## 11 Appendix D - CO<sub>2</sub>\_GOS\_OCFP and CH<sub>4</sub>\_GOS\_OCFP

This section provides the full product description for the CO<sub>2</sub>\_GOS\_OCFP and CH<sub>4</sub>\_GOS\_OCFP data products processed at the University of Leicester.

The OCO 'full physics' retrieval algorithm was developed for the NASA Orbiting Carbon Observatory (OCO) mission (now the Atmospheric Carbon Observations from Space (ACOS) project) was developed to retrieve XCO<sub>2</sub> (dry air, column averaged, mole fraction of CO<sub>2</sub>) from a simultaneous fit of SWIR O<sub>2</sub> and CO<sub>2</sub> bands. For a detailed description please see */Boesch et al., 2006/*, */Boesch et al., 2010/* and */Connor et al., 2008/*.


The retrieval algorithm performs an iterative retrieval based on the optimal estimation approach */Rodgers, 2000/* to estimate a set of atmospheric/surface/instrument parameters (i.e. the state vector,  $\mathbf{x}$ ) from the measured spectral radiances */Connor et al., 08/*. The version of the OCO algorithm used here utilises the LIDORT radiative transfer model to relate the state vector to the observed radiances, incorporating a fast 2-orders-of-scattering vector radiative transfer code */Natraj et al., 2008/*. We also use the low-streams interpolation functionality of the code */O'Dell et al., 2010/* in order to accelerate the radiative transfer component of the retrieval algorithm, allowing a full year of data to be retrieved.

The state vector typically consists of profiles for CO<sub>2</sub>, CH<sub>4</sub>, H<sub>2</sub>O, temperature and aerosol extinction along with surface pressure, surface albedo and a spectral shift/stretch. In order to reduce the number of elements in the state vector, we replace the full profiles for H<sub>2</sub>O and temperature with a profile scaling factor. The final XCO<sub>2</sub> is computed from the retrieved state after the iterative retrieval has converged.

### 11.1 Data organisation

All retrievals for a single orbit which converge and pass initial quality checks are packaged into a single NetCDF file.



	ESA Climate Change Initiative (CCI)	Page 25
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## 11.2 File naming convention

The GOSAT L1B naming convention is as follows:

GOSATTFTS\_yyyymmddhhMMPPSSSX\_1BTTTTVVVVV

Table X describes this in full and provides an example based on the following GOSAT L1B data file:

GOSATTFTS2010053107470120300\_1BOB1D100100

Symbol	Name	Example
yyyy	Year	2010
mm	Month	05
dd	Day	31
HH	Hour	07
MM	Minute	47
PPP	Path/Orbit Number	012 (range 001 to 044)
SSS	Scene Number	030 (range 001 to 060)
X	Sub-scene Number	0
TTTT	Observation Type	OB1D
VVVVVV	L1B Version Number	100100

The CO2\_GOS\_OCFP and CH4\_GOS\_OCFP product adopts a similar naming convention but as a consequence of all retrievals for a single path/orbit being combined the name format is:

CO2\_GOS\_OCFP\_yyyymmddhhMMPPP\_<processor\_version>\_<unique\_tracking\_id>

CH4\_GOS\_OCFP\_yyyymmddhhMMPPP\_<processor\_version>\_<unique\_tracking\_id>

The guidelines from the Collocation Meeting recommend that “CCI data should include a unique tracking ID for each data product file in the file metadata”. We also intend to use this number in the filename itself.

## 11.3 Data Contents

The following table describes in full the contents of the data product with variable name, type, dimension, units and description.

Name	Type	Dimensions	Units	Description
n	Integer	1		Number of exposures in file
m	Integer	1		Number of retrieval levels
instrument	String	1		“GOSAT”
I2_processing_institute	String	1		“ULE”
I2_processor_version	String	1		Version Number of University of Leicester L2 Retrieval
Exposure_ID	String	n		Unique identification for exposure, see Section XX for naming convention
L1B_Observation_Type	String	n		Observation type of L1B data. Options:



**Project Specification Document  
Version 1 (PSDv1)**

Version1 - Draft 3

14 Feb 2010

**for the Essential Climate Variable (ECV)  
Greenhouse Gases (GHG)**

				'OB1D', 'OB1N', 'OB2D', 'SPOD', 'SPON', 'CALM', 'LUCA'
L1B_Version_Number	String	n		Version number for L1B data
Cross-Track_Points	Integer	n		Number of cross-track measurement points. Options: 1,3,5,7,9
latitude_centre	Float	n	Degrees	Latitude of pixel centre
longitude_centre	Float	n	Degrees	Longitude of pixel centre
longitude_corners	Float	n x 4	Degrees	Longitude of pixel extremes
latitude_corners	Float	n x 4	Degrees	Latitude of pixel extremes
time	Double	n	Seconds	seconds since 01.01.1970 00:00 UTC
Year	String	n	(UTC)	Year of measurement (yyyy)
Month	String	n	(UTC)	Month of measurement (mm)
Day	String	n	(UTC)	Day of measurement (dd)
Hour	String	n	(UTC)	Hour of measurement (hh)
Min	String	n	(UTC)	Minute of measurement (MM)
Sec	String	n	(UTC)	Second of measurement (ss)
solar_zenith_angle	Float	n	degrees	Solar Zenith Angle (0°=zenith)
viewing_zenith_angle	Float	n	degrees	Viewing Zenith Angle(0°=zenith)
azimuth_difference	Float	n	degrees	Azimuth Difference (0°=zenith)
at_angle	Float	n	degrees	Measurement along-track angle
ct_angle	Float	n	degrees	Measurement cross-track angle
at_angle_error	Float	n	degrees	Error in along-track angle
ct_angle_error	Float	n	degrees	Error in cross-track angle
atn_lat	Float	n	degrees	Along Track (Negative Direction) Latitude Point
atn_lon	Float	n	degrees	Along Track (Negative Direction) Longitude Point
atp_lat	Float	n	degrees	Along Track (Positive Direction) Latitude Point
atp_lon	Float	n	degrees	Along Track (Positive Direction) Longitude Point
ctn_lat	Float	n	degrees	Cross Track (Negative Direction) Latitude Point
ctn_lon	Float	n	degrees	Cross Track (Negative Direction) Longitude Point
ctp_lat	Float	n	degrees	Cross Track (Positive Direction) Latitude Point
ctp_lon	Float	n	degrees	Cross Track (Positive Direction) Longitude Point
Xtarget	Float	n	ppm	Retrieved XCO2 (ppm) or XCH4 (ppb)
Xtarget_uncertainty	Float	n	ppm	Uncertainty in retrieved XCO2 (ppm) or XCH4 (ppb)
xtarget_smoothing_error	Float	n	ppm	Retrieved XCO2 or XCH4 Smoothing Error
xtarget_noise_error	Float	n	ppm	Retrieved XCO2 or XCH4 Noise Error
xtarget_interference_error	Float	n	ppm	Retrieved XCO2 or XCH4 Interference Error
Retrieved_Psurf	Float	n	hPa	Retrieved Surface pressure




**Project Specification Document  
Version 1 (PSDv1)**

Version1 - Draft 3

14 Feb 2010

**for the Essential Climate Variable (ECV)  
Greenhouse Gases (GHG)**

normalised_column_averaging_kernel	Float	n x m		Normalised Column Averaging Kernel Profile
retr_aero1	Float	n		Retrieved Total Aerosol1 AOD
retr_aero2	Float	n		Retrieved Total Aerosol2 AOD
retr_aero2	Float	n		Retrieved Total IC AOD
snr1	Float	n		Signal-to-Noise Ratio of Band 1
snr2	Float	n		Signal-to-Noise Ratio of Band 2
snr3	Float	n		Signal-to-Noise Ratio of Band 3
total_chisq	Float	n		Chi-Square of fit residual
total_rms	Float	n		RMS of fit residual
rms1	Float	n		RMS of Band 1
rms2	Float	n		RMS of Band 2
rms3	Float	n		RMS of Band 3
chisq1	Float	n		CHISQ of Band 1
chisq2	Float	n		CHISQ of Band 2
chisq3	Float	n		CHISQ of Band 3
num_iterations	Integer	n		Number of iterations performed
num_divs	Integer	n		Number of divergences
outcome	Integer	n		1: pass 2: fail 3: max iterations exceed 4: max divergences exceed
conv_flag	Integer	n		0: Not Converged 1: Converged
cost_div	Integer	n		0: No Divergence 1: Divergence
Pressure_levels	Float	n x m	hPa	Retrieval pressure levels
ap_temp_profile	Float	n x m	K	Apriori Temperature Profile
ap_h2o_profile	Float	n x m	ppm	Apriori H2O Profile
ap_co2_profile	Float	n x m	ppm	Apriori CO2 Profile
surface_elevation	Float	n	km	Mean surface elevation of pixel
surface_elevation_std	Float	n	km	Standrd Deviation of mean surface elevation of pixel
psurf	Float	n	hPa	Surface Pressure
psur_std	Float	n	hPa	Standard Deviation of Surface Pressure over pixel
retr_co2_profile	Float	n x m	ppm	Retrieved CO2 Profile
retr_co2_profile_error	Float	n x m	ppm	Retrieved CO2 Profile Error
retr_disp	Float	n		Retrieved Dispersion
retr_disp_error	Float	n		Retrieved Dispersion Error
retr_albedo	Float	n		Retrieved Albedo
retr_albedo_error	Float	n		Retrieved Albedo Error
retr_ic_profile	Float	n x m		Retrieved ice-cloud Profile
retr_ic_profile_error	Float	n x m		Retrieved ice_cloud Profile Error
retr_aero1_profile	Float	n x m		Retrieved Aerosol1 Profile
retr_aero1_profile_error	Float	n x m		Retrieved Aerosol1 Profile Error
retr_aero2_profile	Float	n x m		Retrieved Aerosol2 Profile
retr_aero2_profile_error	Float	n x m		Retrieved Aerosol2 Profile Error

	<b>ESA Climate Change Initiative (CCI)</b>	Page 28
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	<b>for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)</b>	14 Feb 2010

				Error
retr_temp	Float	n		Retrieved Temperature Scale Factor
retr_temp_error	Float	n		Retrieved Temperature Scale Factor Error
retr_h2o	Float	n		Retrieved H2O Scale Factor
retr_h2o_error	Float	n		Retrieved H2O Scale Factor Error
retr_ch4	Float	n		Retrieved CH4 Scale Factor
retr_ch4_error	Float	n		Retrieved CH4 Scale Factor Error
retr_psurf_error	Float	n		Retrieved Psurf Error

## 12 Appendix E - CH4\_SCI\_IMAP

This section provides the full product description for the CH4\_SCI\_IMAP data product processed at SRON.

### 12.1 Data organisation

All converging retrievals for a single orbit are saved in a single HDF5 file.

### 12.2 File naming convention

The SCIAMACHY L1B file names include the sequence

- product ID: SCI\_NL\_\_0P, SCI\_NL\_\_1P or SCI\_NL\_\_2P for level 0, 1b or 2 data
- processing status flag: N for NRT products, letters between N and V for consolidated products
- originator ID: PDK, PDE, LRA, D-P for PDHS-K, PDHS-E, LRAC or D-PAC
- start date: year, month and day of measurement start
- start time: hours, minutes and seconds of measurement start in UTC
- duration of product coverage in seconds
- ENVISAT mission phase
- ENVISAT cycle number
- relative orbit number within cycle
- absolute orbit number at product start
- file counter
- file extension: N1 corresponds to ENVISAT


An example for a L1 filename is:

*SCI\_NL\_\_1PUDPA20100101\_002615\_000060322085\_00346\_40981\_1171.N1*

We maintain most of the naming convention for our HDF5 L2 XCH<sub>4</sub> files. The L2 file corresponding to the previous L1B file would be:

*SCI\_SRON\_CH4\_vXX\_1PUDPA20100101\_002615\_000060322085\_00346\_40981\_1171.h5*

with vXX denoting the version number.

	ESA Climate Change Initiative (CCI)	Page 29
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## 12.3 Data Contents

The following table details the content of each HDF5 file (containing n retrievals).

Name	Type	Dimensions	Units	Description
<b>/Data/Geolocation</b>				
Latitude	Float	n	degrees	Geodetic latitude of pixel centre
Longitude	Float	n	degrees	Geodetic longitude of pixel centre
LineOfSightZenithAngle	Float	n	degrees	Line of sight zenith angle
SolarZenithAngle	Float	n	degrees	Solar zenith angle
IntegrationTime	Float	n	seconds	Integration time
CornerLatitudes	Float	(n,4)	degrees	Latitude of the 4 corners of the ground-pixel
CornerLongitudes	Float	(n,4)	degrees	Longitude of the 4 corners of the ground-pixel
SurfaceElevation	Float	n	meter	Ground pixel surface elevation
AirMassFactor	Float	n	Modified julian day	Sounding time
OrbitalPhase	Float	n		orbital phase (from L1B file)
ScanRange	Float	n	Degree	Absolute range of line of sight zenith angles
state_id	Float	n		Measurement state id (from L1B file)
<b>/Data/FitResults</b>				
VCD_CH4	Float	n	molec/cm <sup>2</sup>	Methane vertical column density
VCD_CH4_ERROR	Float	n	molec/cm <sup>2</sup>	1-sigma precision error in methane vertical column density
VCD_CO2	Float	n	molec/cm <sup>2</sup>	Carbon dioxide vertical column density
VCD_CO2_ERROR	Float	n	molec/cm <sup>2</sup>	1-sigma precision error in CO <sub>2</sub> vertical column density
xVMR_CH4	Float	n	ppb	Derived column averaged dry methane mixing ratio
xVMR_CH4_1sigma	Float	n	ppb	1-sigma precision error in xVMR_CH4
VCD_CO2_MODEL	Float	n	molec/cm <sup>2</sup>	model CO2 vertical column density used to derive xCH <sub>4</sub>
<b>/Data/ECMWF</b>				
DryColumn	Float	n	molec/cm <sup>2</sup>	Total vertical column density of all gases except water (from ECMWF)
WaterColumn	Float	n	molec/cm <sup>2</sup>	vertical column density of water (from ECMWF)
SurfacePressure	Float	n	hPa	surface pressure (from ECMWF)
SurfaceTemperature	Float	n	K	Surface temperature (from ECMWF)
<b>/Data/AveragingKernel</b>				




**Project Specification Document  
Version 1 (PSDv1)**

Version1 - Draft 3

14 Feb 2010

**for the Essential Climate Variable (ECV)  
Greenhouse Gases (GHG)**

CarbonDioxideAK	Float	n x m	-	CO <sub>2</sub> total column averaging kernel for 12 layers per sounding
MethaneAK	Float	n x m	-	CH <sub>4</sub> total column averaging kernel for 12 layers per sounding
CarbonDioxidePrior	Float	n x m	-	CO <sub>2</sub> prior profile (12 layers)
MethanePrior	Float	n x m	-	CH <sub>4</sub> prior profile (12 layers)
Pressure	Float	n x m	hPa	corresponding pressure profile for the averaging kernels (12 layers per sounding)
<b>/Data/Auxiliary</b>				
residual_ch4_1	Float	n	-	fit residual RMS for the CH <sub>4</sub> fit window
residual_ch4_2	Float	n	-	fit reduced chi <sup>2</sup> for the CH <sub>4</sub> fit window
residual_co2_1	Float	n	-	fit residual RMS for the CO <sub>2</sub> fit window
residual_co2_2	Float	n	-	fit reduced chi <sup>2</sup> for the CO <sub>2</sub> fit window
iterations_ch4	Float	n	ppm	number of iterations used in the CH <sub>4</sub> fit
iterations_co2	Float	n	hPa	number of iterations used in the CO <sub>2</sub> fit
BU_ch4_window	Float	n		raw detector readout at first pixel in CH <sub>4</sub> window
BU_co2_window	Float	n		raw detector readout at first pixel in CO <sub>2</sub> window
pixels_ch4	Float	n	-	number of spectral pixels in CH <sub>4</sub> fit window
pixels_co2	Float	n	-	number of spectral pixels in CO <sub>2</sub> fit window

	ESA Climate Change Initiative (CCI)	Page 31
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## 13 Appendix F - CO<sub>2</sub>\_GOS\_SRFP, CH<sub>4</sub>\_GOS\_SRFP and CH<sub>4</sub>\_GOS\_SRPR

This section provides the full product description for the GOSAT data products processed at SRON.

**Using the Full Physics method**, we retrieve simultaneously XCH<sub>4</sub> and XCO<sub>2</sub>, as well as three aerosol parameters representing their amount, height distribution and size distribution. For this study, we analyse four spectral regions: the 0.77 µm oxygen band, two CO<sub>2</sub> bands at 1.61 and 2.06 µm, as well as a CH<sub>4</sub> band at 1.64 µm.

Data products are obtained from spectra that passed several quality requirements: no GOSAT error flags, SZA < 70 degrees, SNR > 50. Cloudy scenes are removed beforehand using CAI level 2 products.

All retrievals for a single day will be saved in a single NetCDF file.

The following table describes the contents of the full physics data products (name, type, dimension, units and description of variables).

**Using the proxy method**, we retrieve simultaneously XCH<sub>4</sub> and XCO<sub>2</sub> in clear sky conditions (no scattering from aerosols nor rayleigh scattering). Only the O<sub>2</sub> band and the CH<sub>4</sub> band around 1.6 µm are used. We then take the ratio XCH<sub>4</sub>/XCO<sub>2</sub> and multiply it by the a priori XCO<sub>2</sub> (which comes from CarbonTracker).

Data product from this proxy method is the same as for the full physics method except that as we do not include scattering, the quantities DFS for aerosols, retrieved optical thickness, and aerosols parameters are irrelevant and not provided. We additionally provide the quantity XCH<sub>4</sub>\_proxy=XCH<sub>4</sub>/XCO<sub>2</sub> \*XCO<sub>2</sub>\_apr.

Name	Type	Dim.	Units	Description
n	Integer	1		Number of exposures in file
l1b_input_filename	String	n		GOSAT L1B filenames, format GOSATTFTS_yyyyymmddhhMMPPSSSX_1BTTTTVVVVV.01 (see table in section Appendix A)
gosat_idexpo	Integer	n		Exposure index number
gosat_obsmode	String	n		Observation mode: 'OB1D', 'OB1N', 'OB2D', 'SPOD', 'SPON', 'CALM', 'LUCA'
gosat_gain	String	n		Observation gain: 'HH','MM'
gosat_sunglint	Integer	n		0 = Nadir, 1 = Glint
Longitude_centre	Float	n	degrees	Centre Longitude
Latitude_corners	Float	4*n	degrees	Latitude extremes in GOSAT FOV
Instrument_Azimuth_Angle	Float	n	degrees	Satellite azimuth angle



**Project Specification Document  
Version 1 (PSDv1)**

Version 1 - Draft 3

14 Feb 2010

**for the Essential Climate Variable (ECV)  
Greenhouse Gases (GHG)**

gosat_cld_ifov_nyes	Integer	n		Number of CAI pixels that match GOSAT footprint
gosat_cld_ifov_nno	Integer	n		Number of CAI pixels not processed in GOSAT footprint
gosat_cld_ifov_flag	Integer	n, 16		Number of CAI pixels in GOSAT footprint satisfying each confidence levels (1st level: cloudy, ..., 16th: cloud-free)
gosat_cld_ofov_nyes	Integer	n		Number of CAI pixels that match an area that is twice GOSAT footprint (GOSAT 'outer FOV')
gosat_cld_ofov_nno	Integer	n		Number of CAI pixels not processed in GOSAT outer FOV
gosat_cld_ofov_flag	Integer	n, 16		Number of CAI pixels in GOSAT OFOV satisfying each confidence levels (1st level: cloudy, ..., 16th: cloud-free)
meteo_psfmet	Float	n	hPa	Surface pressure
meteo_tsfmet	Float	n	K	Surface temperature
meteo_surface_elevation	Float	n	m	Surface elevation
meteo_min_surface_elevation	Float	n	m	Minimum surface elevation in GOSAT footprint
meteo_max_surface_elevation	Float	n	m	Maximum surface elevation in GOSAT footprint
meteo_airmass	Float	n	Molec/cm <sup>2</sup>	Total airmass
meteo_air_profile	Float	n, m	Molec/cm <sup>2</sup>	Partial column profile
meteo_pressure_levels	Float	n, m	hPa	Pressure levels (boundaries of retrieval layers)
ini_nlay	Integer	n		Number of retrieval layers
ini_nwin	Integer	n		Number of spectral windows
ini_wstart_win	Float	n, ini_nwin	cm <sup>-1</sup>	Start wavelength of each window
gosat_pol01snr_win	Float	n, ini_nwin		Signal to noise ratio in each window, 1 <sup>st</sup> polarization channel
Chi2	Float	n		Total chi <sup>2</sup>
DFS_aero	Float	n		Degree of freedom for aerosols
inv_sot_win	Float	n, ini_nwin		Retrieved optical thickness in each window
inv_XCO2	Float	n	ppm	Retrieved XCO2
inv_XCH4	Float	n	ppm	Retrieved XCH4
inv_XCO2_uncertainty	Float	n	ppm	Retrieved XCO2 noise error
inv_XCH4_uncertainty	Float	n	ppm	Retrieved XCH4 noise error
inv_H2O_column	Float	n	Molec/cm <sup>2</sup>	Retrieved H2O total column
inv_VMR_profile_CO2	Float	n, m	ppm	Retrieved CO2 mixing ratio Profile
inv_VMR_profile_CH4	Float	n, m	ppm	Retrieved CH4 mixing ratio profile
inv_VMR_profile_CO2_uncertainty	Float	n, m	ppm	Retrieved CO2 mixing ratio error profile
inv_VMR_profile_CH4_uncertainty	Float	n, m	ppm	Retrieved CH4 mixing ratio error profile
inv_avg_ker_CO2_profile	Float	n, m* m		Averaging kernels for CO2 mixing ratio profile
inv_avg_ker_CH4_profile	Float	n, m* m		Averaging kernels for CH4 mixing ratio profile
inv_avg_ker_XCO2	Float	n, m		XCO2 column averaging kernels
inv_avg_ker_XCH4	Float	n, m		XCH4 column averaging kernels
inv_alb_win_order00	Float	n, ini_nwin		Retrieved 0th order albedo per






**Project Specification Document  
Version 1 (PSDv1)**

Version1 - Draft 3

14 Feb 2010

**for the Essential Climate Variable (ECV)  
Greenhouse Gases (GHG)**

				window
inv_alb_win_order01	Float	n, ini_nwin		Retrieved 1st order albedo per window
inv_ioff_win_order00	Float	n		Retrieved intensity offset in window 1
inv_shift_win_order00	Float	n, ini_nwin	cm-1	Retrieved spectral shift of FTS spectra per window
inv_xsshift_win_order00	Float	n, ini_nwin	cm-1	Retrieved spectral shift of cross-sections per window
inv_aero_amount	Float	n	particles/cm <sup>2</sup>	Retrieved total column number density for aerosols
inv_aero_height	Float	n	m	Retrieved height distribution parameter for aerosols
inv_aero_size	Float	n		Retrieved size distribution parameter for aerosols
XCO2_apriori	Float	n	ppm	A priori XCO2
VMR_profile_CH4_apriori	Float	n, m	ppm	A priori CH4 mixing ratio profile
apr_H2O_column	Float	n	Molec/cm <sup>2</sup>	A priori H2O total column
apr_alb_win_order00	Float	n, ini_nwin		A priori 0th order albedo per window
apr_alb_win_order01	Float	n, ini_nwin		A priori 1st order albedo per window
apr_ioff_win_order00	Float	n		A priori intensity offset in window 1
apr_shift_win_order00	Float	n, ini_nwin	cm-1	A priori spectral shift of FTS spectra per window
apr_xsshift_win_order00	Float	n, ini_nwin	cm-1	A priori spectral shift of cross-sections per window
apr_aero_amount	Float	n	particles/cm <sup>2</sup>	A priori amount parameter for aerosols
apr_aero_height	Float	n	m	A priori height distribution parameter for aerosols
apr_aero_size	Float	n		A priori size distribution parameter for aerosols

	ESA Climate Change Initiative (CCI)	Page 34
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## 14 Appendix G - Additional Constraints Algorithms (ACAs)


### 14.1 CO2\_AIR\_NLIS

Mid-to-upper tropospheric integrated content of CO<sub>2</sub> is retrieved from AIRS-AMSU observations using a non-linear regression inverse radiative transfer model using Multi-Layer Perceptrons **/Crevoisier et al., 2004/**. Use is made of 15 AIRS channels located in the 15 μm spectral band that are mostly sensitive to CO<sub>2</sub> and, as all infrared channels, to atmospheric temperature. Observations made in the microwave by the AMSU instrument, flying with AIRS onboard MetOp-A (both instruments are synchronized), are also sensitive to temperature, but are insensitive to CO<sub>2</sub>. Thus, combining AIRS and AMSU allows separating the two signals. Potential radiative systematic biases existing between simulations used to train the networks and observations are computed for each channel by averaging, over three years of operation, the differences between simulations and collocated (in time and space) satellite observations. The simulations are performed using the 4AOP forward model **/Scott and Chédin, 1981/**; <http://www.noveltis.net/4AOP/>, which is based on the GEISA spectroscopic database (available at <http://ether.ipsl.jussieu.fr/>) **/Jacquinet-Husson et al., 2010/**, and radiosonde measurements from the Analyzed RadioSoundings Archive database (available at <http://ara.lmd.polytechnique.fr>) as inputs.

The current data format is ASCII, but can be modified according to user need. One file corresponds to one month. The data files contain header lines providing information on the version, the month and the pressure levels used in the retrieval. They are followed by the retrieval which are provided for each cloud-free AIRS FOV, with the following format: yymmdd, land/sea index, angle of observation, hhmmss, latitude, longitude, integrated content, associated error, weighting function.

### 14.2 CO2\_IAS\_NLIS

Mid-to-upper tropospheric integrated content of CO<sub>2</sub> is retrieved from AIRS-AMSU observations using a non-linear regression inverse radiative transfer model using Multi-Layer Perceptrons **/Crevoisier et al., 2009a/**. Use is made of 9 AIRS channels located in the 15 μm spectral band that are mostly sensitive to CO<sub>2</sub> and, as all infrared channels, to atmospheric temperature. Observations made in the microwave by the AMSU instrument, flying with IASI onboard MetOp-A (both instruments are synchronized), are also sensitive to temperature, but are insensitive to CO<sub>2</sub>. Thus, combining IASI and AMSU allows separating the two signals. Potential radiative systematic biases existing between simulations used to train the networks and observations are computed for each channel by averaging, over the first three years of operation (July 2007–August 2010), the differences between simulations and

	ESA Climate Change Initiative (CCI)	Page 35
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010


collocated (in time and space) satellite observations. The simulations are performed using the 4AOP forward model **/Scott and Chédin, 1981/**; <http://www.noveltis.net/4AOP/>, which is based on the updated 2009 version of the GEISA spectroscopic database (available at <http://ether.ipsl.jussieu.fr/>) **/Jacquinet-Husson et al., 2010/**, and radiosonde measurements from the Analyzed RadioSoundings Archive database (available at <http://ara.lmd.polytechnique.fr>) as inputs.

The current data format is ASCII, but can be modified according to user need. One file corresponds to one month. The data files contain header lines providing information on the version, the month and the pressure levels used in the retrieval. They are followed by the retrieval which are provided for each cloud-free IASI FOV, with the following format: yymmdd, land/sea index, angle of observation, hmmmss, latitude, longitude, integrated content, associated error, weighting function.

### 14.3 CH<sub>4</sub> IAS\_NLIS

Mid-tropospheric integrated content of CH<sub>4</sub> is retrieved from IASI-AMSU observations using a non-linear regression inverse radiative transfer model using Multi-Layer Perceptrons **/Crevoisier et al., 2009b/**. Use is made of 10 IASI channels located in the 7.7 μm spectral band that are mostly sensitive to CH<sub>4</sub> and, as all infrared channels, to atmospheric temperature. Observations made in the microwave by the AMSU instrument, flying with IASI onboard MetOp-A (both instruments are synchronized), are also sensitive to temperature, but are insensitive to CH<sub>4</sub>. Thus, combining IASI and AMSU allows separating the two signals. Potential radiative systematic biases existing between simulations used to train the networks and observations are computed for each channel by averaging, over the first three years of operation (July 2007–August 2010), the differences between simulations and collocated (in time and space) satellite observations. The simulations are performed using the 4AOP forward model **/Scott and Chédin, 1981/**; <http://www.noveltis.net/4AOP/>, which is based on the updated 2009 version of the GEISA spectroscopic database (available at <http://ether.ipsl.jussieu.fr/>) **/Jacquinet-Husson et al., 2010/**, and radiosonde measurements from the Analyzed RadioSoundings Archive database (available at <http://ara.lmd.polytechnique.fr>) as inputs.

The current data format is ASCII, but can be modified according to user need. One file corresponds to one month. The data files contain header lines providing information on the version, the month and the pressure levels used in the retrieval. They are followed by the retrieval which are provided for each cloud-free IASI FOV, with the following format: yymmdd, land/sea index, angle of observation, hmmmss, latitude, longitude, integrated content, associated error, weighting function.

	ESA Climate Change Initiative (CCI)	Page 36
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

#### 14.4 CO<sub>2</sub>\_ACE\_CLSR


As described in /Foucher et al. 2009, 2010/, the methodology used to determine CO<sub>2</sub> profiles (5-25 km) from ACE-FTS observations has two main steps: (i) pointing parameter estimation (tangent heights, pressure and temperature profiles); (ii) CO<sub>2</sub> vertical profile estimation using a careful selection of CO<sub>2</sub> spectral micro-windows. Both steps use a similar constrained least-squares retrieval method. The target variable is a vector containing tangent heights and a temperature profile in the first step or a CO<sub>2</sub> concentration vertical profile in the second step. The accurate determination of the instrument pointing parameters (tangent heights) and pressure/temperature profiles is performed independently from an a priori CO<sub>2</sub> profile, using, for the first time, the N<sub>2</sub> collision induced continuum absorption near 4 μm, with a high precision (50 m standard deviation). The vertical resolution of the retrieved CO<sub>2</sub> profile is around 2 km for the lowest altitudes and about 2.5 km at 15 km. The estimated CO<sub>2</sub> total error is characterized by a bias of about 1 ppm and a standard deviation of about 2 ppm after averaging over 20 spatially and temporally consistent profiles.

#### 14.5 CH<sub>4</sub>\_MIP\_IMK


MIPAS CH<sub>4</sub> retrievals produced at IMK are based on constrained least squares fitting of simulated spectra to measured spectral radiances in the 1220-1305 cm<sup>-1</sup> spectral region. The reported geophysical quantity is volume mixing ratio versus altitude. The temperature (retrieved from MIPAS spectra) and the pressure (hydrostatical, based on MIPAS temperatures) are provided along with the CH<sub>4</sub> data in order to allow transformation to different representations (e.g. number density versus pressure or versus potential temperature). The data are retrieved on a fixed altitude grid of 1 km spacing below 44 km and 2 km above 44 km, i.e. the grid is independent of the actual tangent altitudes of the measurement. Along with the data, the diagonal values of the averaging kernels matrix are provided, as well as the measurement noise (in terms of the diagonal entries of the covariance matrix). Full measurement error covariance matrices and averaging kernel matrices are stored and can be made available on request, as well as parameter error estimates.

Besides the averaging kernel diagonal, the estimate of the altitude resolution and the retrieval errors, the following quality indicator are reported: the lowermost tangent altitude of the limb scan used; spectra contaminated by cloud signal are discarded from analysis, and thus there is zero measurement information in the profile below this altitude. A priori profiles are not reported because the constraint applied for CH<sub>4</sub> is Tikhonov type first order difference smoothing with zero slope, hence the a priori is constant zero.

The exported data are stored in daily ASCII files, structured by \$-signs at the very first position of a line. Each \$-sign marks a new item/entry in the file. Numbers immediately following the \$-sign give paragraph, section, subsection, and clause of the corresponding entry.

	<b>ESA Climate Change Initiative (CCI)</b>	Page 37
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	<b>for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)</b>	14 Feb 2010

The files contain profiles together with visibility flags, corresponding geolocations, date/time, latitude/longitude of the projection of the scan on the Earth surface, solar zenith angle, engineering tangent altitude, grid altitude, pressure and temperature, as well as diagnostics of the retrieval success: degree of freedom, chi2, rms, diagonal of averaging kernel matrix, vertical resolution from AKM columns, and the noise error.

	ESA Climate Change Initiative (CCI)	Page 38
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

## 14.6 CH<sub>4</sub>\_SCI\_ONPD

The SCIAMACHY Solar Occultation CH<sub>4</sub> product (CH<sub>4</sub>\_SCI\_ONPD) is derived by an onion peeling DOAS method. The native results are vertical number density profiles on a 1 km grid with a vertical resolution of about 4 km. The number densities are converted to VMRs using collocated ECMWF pressure and temperature data. The product is still under development and not yet released to the public; therefore the format might change in the future.

The current data format is (gzipped) ASCII. There is one file per measurement/profile. The file naming convention is as follows:

SCIA\_occ\_yyyymmdd\_HHMMSS\_1\_0\_OOOOO.ch4.vmr.gz  
 where yyyy=year, mm=month, dd=day, HH=hour, MM=minute, SS=second, OOOOO=orbit number denote the start time and orbit number of the measurement.

The data files contain comment/header lines which are marked with a leading '#'. The header entries are described in Table 5. There is one data line for each tangent altitude. The columns of the data lines are:

- layer no.
- tangent altitude (km)
- retrieved number density (mol/cm<sup>3</sup>)
- error on retrieved number density (mol/cm<sup>3</sup>)
- derived CH<sub>4</sub> VMR (ppmv)
- water vapour number density (mol/cm<sup>3</sup>) from matching ECMWF data (not relevant for CH<sub>4</sub>)
- ECMWF pressure (hPa)
- ECMWF temperature (K)

### Important notes:

- Although the data files contain values for altitudes between 0 and 50 km, only data between 20 and 40 km should be used. The other data are not reliable, especially below 15 km no retrieval is performed at all.
- The number density error is only a rough estimate based on the individual fit residuals. It does not consider effects of e.g. vertical smoothing and saturation correction (applied after the fit). The 'real' errors are probably smaller.




**Project Specification Document  
Version 1 (PSDv1)**

Version1 - Draft 3

14 Feb 2010

**for the Essential Climate Variable (ECV)  
Greenhouse Gases (GHG)**


Mark	Example	Description
# state_start	02-Aug-2002 01:15:31.197545	Start date and time of measurement
# center_lat_lon (deg)	51.700 277.265	Geolocation of center point
# corner_lat_lon (deg)	51.462 277.260 51.489 277.198 52.284 277.143 52.308 277.087	Corner coordinates of ground pixel
# Program Version	3.2	Retrieval program version number
# Product Version	3.2.1	Data product version number
# filename	SCIA_occ_20020802_011531_1_0_02204.occ	Input filename
# no. of absorbers	3	Number of absorbers considered in the fit
# alphafile[0]	/tmp/noel/RTM/Alpha_rad.ch4	RTM data base of first absorber (CH4)
# alphafile[1]	/tmp/noel/RTM/Alpha_rad.co2	RTM data base of second absorber (CO2)
# alphafile[2]	/tmp/noel/RTM/Alpha_rad.T	RTM data base of third absorber (T)
# top minlam (nm)	1559.00	Minimum wavelength of fit window at highest altitude
# top maxlam (nm)	1671.00	Maximum wavelength of fit window at highest altitude
# low minlam (nm)	1559.00	Minimum wavelength of fit window at lowest altitude
# low maxlam (nm)	1671.00	Maximum wavelength of fit window at lowest altitude
# min. tangent height (km)	15.00	Tangent height below which no retrieval is performed
# no. of orig. meas. alt.	20	Number of altitudes of original measurements
# orig. meas. altitudes (km)	4.93 7.29 9.66 12.02 14.37 16.85 19.52 23.09 25.74 28.40 31.11 34.65 37.41 40.04 42.73 46.25 48.93 51.60 54.27 57.76	Altitudes of original measurements (km)
# ECMWF file	/home/Data/Occ/Operational_CO2_CH4/Script s/./ECMWF/./Profiles/2002/08/02/ecmwf_h2o_ profile.2002080200.Lat_026.Lon_185	ECMWF file name used for p, T
# tropopause_height (km)	11.25	Estimated tropopause height from ECMWF data
# tropopause_temp (K)	221.40	Estimated tropopause temperature from ECMWF data
# No., h_scia(km), n_scia(mol/cm3),		Comment line, explaining the format of the

	<b>ESA Climate Change Initiative (CCI)</b>	Page 40
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	<b>for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)</b>	14 Feb 2010

nerror_scia(mol/cm3), VMR_scia[ppmv], n_ecmwf(mol/cm3), p_ecmwf[hPa], T_ecmwf[K]		data lines
# smoothing width (km)	4.100000	Width of boxcar smoothing applied to the data after the retrieval
# satcorr file 0	/home/Data/Occ/Operational_CO2_CH4/Script s/./Corr/satcorr.ch4	File used for saturation correction of first absorber (CH4)
# satcorr file 1	/home/Data/Occ/Operational_CO2_CH4/Script s/./Corr/satcorr.co2	File used for saturation correction of second absorber (CO2)
# co2corr file 0	/home/Data/Occ/Operational_CO2_CH4/Script s/./Corr/co2corr.dat	File used for CO2 correction of first absorber (CH4)

**Table 5:** Header of CH4\_SCI\_ONPD files.



	ESA Climate Change Initiative (CCI)	Page 41
	<b>Project Specification Document Version 1 (PSDv1)</b>	Version1 - Draft 3
	for the Essential Climate Variable (ECV) Greenhouse Gases (GHG)	14 Feb 2010

**End of document**